



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

VICTOR B. KLEY

Application No.: 10/047,454

Filed: January 14, 2002

For: SCANNING PROBE
MICROSCOPE ASSEMBLY AND
METHOD FOR MAKING
SPECTROPHOTOMETRIC, NEAR-
FIELD, AND SCANNING PROBE
MEASUREMENTS

Examiner: Thanh X Luu

Art Unit: 2878

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR §1.97 and §1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance.

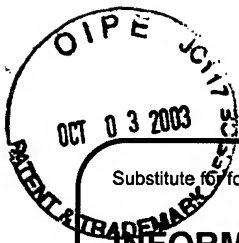
Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

A handwritten signature in black ink that reads "David Slone". The signature is written in a cursive, flowing style.

David N. Slone

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 4

Complete if Known

Application Number	10/047,454
Filing Date	January 14, 2002
First Named Inventor	Victor B. Kley
Art Unit	2878
Examiner Name	Thanh X Luu
Attorney Docket Number	020921-001110US

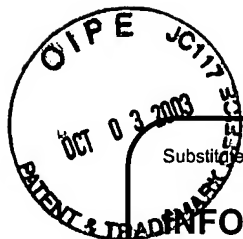
U.S. PATENT DOCUMENTS+

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
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	3	6,340,813	01-22-2002	Tominaga et al.	
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 2 of 4

Complete if Known

Application Number	10/047,454
Filing Date	January 14, 2002
First Named Inventor	Victor B. Kley
Art Unit	2878
Examiner Name	Thanh X Luu
Attorney Docket Number	020921-001110US

U.S. PATENT DOCUMENTS+

Examiner Initials*	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/047,454
		Filing Date	January 14, 2002
		First Named Inventor	Victor B. Kley
		Art Unit	2878
		Examiner Name	Thanh X Luu
Sheet 4 of 4	Attorney Docket Number	020921-001110US	

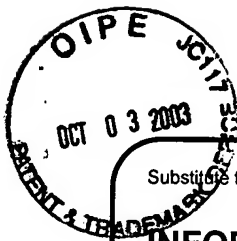
NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	104	AGER et al., "Multilayer hard carbon films with low wear rates," <u>Surface and Coatings Technology</u> , 91:91-94 (1997).	
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	106	DIAZ, D.C., et al., An Improved Fabrication Technique for Porous Silicon, <u>Rev. Sci. Instrum.</u> 64 (2), Feb. 1993, pp. 507-509.	
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	109	RASMUSSEN et al. "Fabrication of an All-metal Atomic Force Microscope Probe," <u>IEEE</u> (1997).	
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Sheet 3 of 4

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Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	96	PCT	WO 01/03157		01-11-2001			
	97	PCT	WO 98/34092	A2	08-06-1998			
	98	PCT	WO 97/04449		02-06-1997			
	99	PCT	WO 96/03641	A1	02-08-1996			
	100	JP	7-105580		04-21-1995			yes
	101	JP	61-133065		06-20-1986			abstract only
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